



Kayne Anderson Rudnick

**Guide to Services and Compensation for ERISA Accounts Advised by
Kayne Anderson Rudnick Investment Management, LLC (“KAR”)**

(Pursuant to Section 408(b) (2) of ERISA)

The following is a guide to important information that you should consider in connection with the services to be provided by KAR to your ERISA account(s).

Should you have any questions concerning this guide or the information provided to you concerning our services or compensation, please do not hesitate to contact Judy Ridder at phone number 310 712-2909 or email address jridder@kayne.com

Required Information	Location(s)
Description of the services that KAR will provide to your plan	These can be found in KAR’s Form ADV, Part 2a under Advisory Business.
A statement concerning the services that KAR will provide as an ERISA fiduciary and a registered investment adviser	These can be found in your Investment Advisory Agreement under Representations or in KAR’s Form ADV, Part 2a under Advisory Business.
Direct Compensation KAR will receive from your Plan.	Information regarding compensation KAR will receive from your plan can be found in your Investment Advisory Agreement’s fee schedule. It can also be found in KAR’s Form ADV part 2a under Fees and Compensation.
Indirect Compensation KAR will receive from other parties that are not related to KAR	Indirect compensation information can be found in your Investment Advisory Agreement and Schedule B (brokerage) of the Investment Advisory Agreement and in Form ADV, Part 2a under Brokerage Practices.
Compensation KAR will receive if you terminate this service agreement	Information regarding compensation paid upon termination of your account can be found in your Investment Advisory Agreement under Assignment/Termination. It can also be found in Form ADV, Part 2a under Fees and Compensation
The manner in which the Plan is billed.	Information regarding the manner in which your Plan is billed can be found in your Investment Advisory Agreement under Investment Advisory Fees. It can also be found in KAR’s Form ADV, Part 2a under Fees and Compensation.
The cost to your Plan or recordkeeping services.	Not Applicable. KAR is not a record-keeper or administrator to your Plan.